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Governance, Compliance and Ethics **2018 AUG 15 PM 3:25**

Committee Members
Robert Martinez - Chair
Brian Fant, JD
Winfred Parnell, MD
BY 

Agenda for the *Governance, Compliance and Ethics Committee meeting of the Board of Managers of the Dallas County Hospital District* is scheduled for *Wednesday, August 15, 2018 at 7:30 a.m.* in the Administrative Conference Room – 4th Floor WISH Clinic Tower, Parkland Health & Hospital System located at 5200 Harry Hines Blvd, Dallas, Texas 75235. The Governance, Compliance and Ethics Committee reserves the right to exercise discretion and may convene in Executive Session as permitted by Section 551.071 (consultation with attorney), 551.072 (deliberation regarding real property), 551.074 (personnel matters), 551.076 (deliberation regarding security devices or security audits), and 551.085 (deliberations regarding the negotiation for the arrangement or provision of services of a health care provider or nonprofit health maintenance organization) of the Texas Government Code, Sections 161.032 (at which the governing body receives records, information, or reports provided by a medical committee, medical peer review committee, or compliance officer), and 161.0315 of the Texas Health & Safety Code (records, information, or reports, from a medical peer review committee or a medical committee) and Section 160.007 of the Texas Occupations Code (record or proceeding of a medical peer review committee) and, as otherwise authorized by law, on *any* item listed. The Governance, Compliance and Ethics Committee may consider any of the agenda items in open or executive session and may continue the meeting as necessary to accomplish the business at the time and place designated by the Chair to reconvene.

I. Declare a Quorum Present/Call to Order/Mission Statement **Presenter**
Dedicated to the health and well-being of individuals and communities entrusted to our care. **Chair**

II. Executive Session
Tex. Health & Safety Code §§161.032 and 161.0315 Tex.
Occup. Code §160.007 and 551,071
Tex Gov't Code §551.074

- A. Compliance and Ethics Reports
 - i. Billing Independent Review Organization Report on Year Five Claims Review Amy Bailey
 - ii. Management Response to Claims Review Findings and Recommendations Kevin Pierce
 - iii. Report on Sustainability Action Plan Mary Findley/
Alison Fethke
 - iv. Governance, Compliance and Ethics Committee Charter Mary Findley/
Saul Cordero

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COUNTY CLERK
DALLAS COUNTY
BY  SAUL CORDERO
DEPUTY

- v. Discuss Updates to Board Committee Charters Mary Findley/
Saul Cordero
- vi. Report on Action Plan for Health Ethics Mary Findley
Trust Year Four Compliance Program Review
Findings and Recommendations
- vii. Proposed Board of Managers Staci Brainin/
Compliance and Quality Resolution Mary Findley
- viii. Assessment of Compliance and Ethics Program for Chair and
Recommendation to the Board of Managers Stacy Brainin
- ix. Compliance and Ethics FY19 Budget Mary Findley
- x. Disclosure Program Jerry Hopgood
- xi. Compliance Audits, Reviews and Investigations Mary Lea Holmes
- xii. Internal Audit Compliance Reviews Vic Summers
- B. Corporate Integrity Agreement (“CIA”) Obligations
i. Ongoing Reporting Requirements Mary Findley
ii. Periodic – Year Five Annual Report Mary Findley
iii. Year Five Sub-Certification Process Mary Findley
- C. Chair Discussion Regarding Board Personnel Chair
Matters Pertaining to Self-Assessment
- D. Reference Materials Mary Findley
i. Year Five Claims Review Report
ii. Executive Compliance Committee
a. February 7, 2018 Agenda and Minutes
b. May 1, 2018 Agenda and Minutes
c. June 6, 2018 Agenda and Minutes
d. August 8, 2018 Agenda
iii. Policy Notification
a. May 18, 2018

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JOHN F. WARREN
COUNTY CLERK
DALLAS COUNTY

BY *MJ* ~~30~~ minutes DEPUTY

- iv. Disclosure Program Reports
- v. Sanction Screening Report

III. Action Items

- A. Approve Compliance and Ethics Program and Administrative Policies
- B. Approve Charter for the Executive Compliance Committee
- C. Approve Governance, Compliance and Ethics Committee Charter
- D. Approve Updates to Board Committee Charters
- E. The Board may take action on any agenda items above

Mary Findley/
Ciel Murphy

Mary Findley

Mary Findley/
Saul Cordero

Mary Findley/
Saul Cordero

IV. Adjourn