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2018 FEB -9 PM 3: 30

Governance, Compliance and Ethics

COUNTY CLERK
DALLAS COUNTY
Committee Members
Robert Martinez, Chair
Brian Fant, JD
Winfred Parnell, MD

Agenda for the Governance, Compliance and Ethics Committee meeting of the Board of Managers of the Dallas County Hospital District is scheduled for Wednesday, February 14, 2018 at 10:30 a.m. in the Administrative Conference Room – 4th Floor WISH Clinic Tower, Parkland Health & Hospital System located at 5200 Harry Hines Blvd, Dallas, Texas 75235. The Governance, Compliance and Ethics Committee reserves the right to exercise discretion and may convene in Executive Session as permitted by Section 551.071 (consultation with attorney), 551.072 (deliberation regarding real property), 551.074 (personnel matters), 551.076 (deliberation regarding security devices or security audits), and 551.085 (deliberations regarding the negotiation for the arrangement or provision of services of a health care provider or nonprofit health maintenance organization) of the Texas Government Code, Sections 161.032 (at which the governing body receives records, information, or reports provided by a medical committee, medical peer review committee, or compliance officer), and 161.0315 of the Texas Health & Safety Code (records, information, or reports, from a medical peer review committee or a medical committee) and Section 160.007 of the Texas Occupations Code (record or proceeding of a medical peer review committee) and, as otherwise authorized by law, on *any* item listed. The Governance, Compliance and Ethics Committee may consider any of the agenda items in open or executive session and may continue the meeting as necessary to accomplish the business at the time and place designated by the Chair to reconvene.

- | | Presenter |
|--|--------------------------------|
| I. Declare a Quorum Present/Call to Order/Mission Statement | Chair |
| <i>Dedicated to the health and well-being of individuals and communities entrusted to our care.</i> | |
| II. Executive Session | |
| A. Tex. Health & Safety Code §§161.032 and 161.0315 and Tex. Occup. Code §160.007
Compliance and Ethics Reports | |
| 1. Annual Disclosure of Outside Interests and Activities Report | Mary Findley/
Miriam Miller |
| 2. Compliance Risk Assessment | Mary Findley/
Yolanda Rich |
| 3. Compliance and Ethics Department Annual Report | Mary Findley |
| 4. Compliance Program Sustainability | Mary Findley |
| 5. Disclosure Program | Jerry Hopgood |

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Yolanda Rich/
Jerry Hopgood
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6. Compliance Audits, Reviews and Investigations

7. Internal Audit Compliance Reviews

B. Tex. Health & Safety Code §§161.032 and
161.0315 and Tex. Occup. Code §160.007
Corporate Integrity Agreement (“CIA”) Obligations
1. CIA Training and Code of Conduct Certification

Mary Findley

2. Ongoing Reporting Requirements

Mary Findley

3. Unallowable Costs

Mary Findley

4. Status of Implementation of IRO
Recommendations

Yolanda Rich

5. Sub-Certification Process for CIA
Year Five

Mary Findley

C. Tex. Health & Safety Code §§161.032 and
161.0315 and Tex. Occup. Code §160.007
Crisis Information Reporting to the Board of
Managers Policy

Stacy Brainin

D. Tex. Health & Safety Code §§161.032 and
161.0315 and Tex. Occup. Code §160.007
Reference Materials

Mary Findley

1. Agenda and Approved Minutes

ii. October 4, 2017

iii. December 6, 2017

2. Required Policy and Procedure Notifications to Covered Persons

3. Corrective Action Plan Report

III. Action Items

Chair

A. The Committee may take action on the agenda items listed above

B. Discussion Regarding Annual Review of
Committee Charters

C. Crisis Information Reporting to the Board of
Managers Policy

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D. Any items in Sections II and III

IV. Adjourn

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